Appendix 1 – Proposed 2017/18 Corporate Risk Register

The following 2017/18 Corporate Risk Register has been developed through an assessment of the risks to achieving year two of our 2016-2019 corporate strategy. This assessment has also included an assessment of:

- 2016/17 corporate risks remaining at amber at the 2016/17 year end
- Any risks to be escalated from service risk registers
- The external environment that we operate in.

In developing our risk register we have used a 9 grid tool based on likelihood and impact of the risk which not only gives a Green, Amber, Red classification but helps us prioritise action to mitigate that risk depending on where the risk sits on the grid. This is shown at Table 2. The risk register is a 'live' tool that is changed if new risks arise or risks are managed down over the year.

Table 1: Proposed 2017/18 corporate risks and position at start of year

Corporate strategy ref	Risk Description	Existing controls/mitigating action	Risk rating at start of year	Green, Amber or Red/ action	Lead officer
Shift 1 the place and the park on a landscape scale	1. Failure to create a common understanding for the White Peak, including engaging with the farming community and land managers	• Follow a clear quality process • Ensure clear strategic vision for what we want to achieve • Log of who to involve • Explore using the White Peak as a Brexit case study – SLF with National Trust & Natural England	High Impact Medium Likelihood	AMBER	JRS
Shift 1 the place and the park on a landscape scale	2. Adverse exchange rate movements for Moorlife 2020 European funding	• Capping Sterling budget • Using budget to map against hedging transaction	Medium Impact Medium Likelihood	AMBER	PN
Shift 1 the place and the park on a landscape scale	3. Insufficient capacity to deliver Moors for the Future Partnership programme	• Programme and project management processes in place, including Strategic Management Group and project board • Partner analysis • Advocacy plan based on partner analysis • Compliance monitoring of existing controls	Medium Impact Low Likelihood	GREEN	JRS
Shift 1 the place and the park on a landscape scale	4. Area of NP land safeguarded in agri-environment schemes reduces because of Brexit uncertainty and continuing issues with Countryside	• National influencing for post Brexit agri/ environmental policies and support systems • Local communications across the farming & land management	High Impact High Likelihood	RED	JRS

	Stewardship	• industry • NPMP work • Increase promotion of the service provided, working closely with other agencies such as NFU, CLA, NE, EA, FC. • Public payment for public goods/ benefits • Influencing role through PDNPA links and NPE's Future of Farming			
Shift 2 Connecting people to the place	5. Failure to inspire people to give to the Peak District National Park Authority	• Commercial Development & Outreach strategic plan • Commercial Development & Outreach Operational plan • Authority-approved budget • Implementation of plan	Medium Impact High Likelihood	AMBER	SM
Shift 4 Grow our income and supporters	6. Failure to implement the integrated strategic commercial plan	• Commercial Development & Outreach strategic plan • Commercial Development & Outreach Operational plan • Authority-approved budget • Full suite of HoS to drive delivery in place by Q2 • Full suite of managers and Tier 5 resource in place and integrated by Q4	High Impact Medium Likelihood	AMBER	SM
Cornerstone 1 Our people	7. Failure to design the organisation at all levels so it has the skills and capability to deliver	• Corporate Strategy 2016-19 in place • Design principles in place • Job description and person specification templates • Competency framework • Experience gained and shared from tier 2 and 3 design • Change process understood by managers and good practice shared • Qualified HR team and Learning & Development Officer to coach and give	High Impact Low Likelihood	AMBER	DH

		guidance			
Cornersto ne 1 Our people	8. Failure to support staff going through a time of change	• OLT working with SLT • Clear comms on change • UNISON & Staff Committee representatives • HR team • HR support package • Free counselling sessions at DRC • Resilience Training for managers to understand emotional impact on staff • 1-2-1 coaching for affected staff • 1-2-1 sessions with clinical psychologists	Medium Impact Medium Likelihood	AMBER	DH
Cornersto ne 2 Our services	9. Failure to deliver an integrated conservation service for land managers and communities which increases awareness, understanding and support for the National Park's special qualities and the public goods delivered by the place	• Existing advice service delivered by teams • Neighbourhood and village planning offer by policy and communities service • Updating of NPMP, including comms for special qualities • Refreshing the community development offer • Development of data to provide information • Partnership working, including through NPMP	Medium Impact Medium Likelihood	AMBER	JRS
Cornersto ne 3 Our organisati on	10. Failure to influence the transposing of EU laws and legislation for landscape and the environment into UK law after Article 50	• Failure to influence the transposing of EU laws and legislation for landscape and the environment into UK law after Article 50 • NPE Board have agreed 4 priority areas for Government engagement as we leave the EU, including, delivering a better environment for all and grasping the opportunities for farming and land management	High Impact Low Likelihood	AMBER	SF
Cornersto ne 4 our people	11. Failure to deliver against our Performance and Business Plan in a time of change	• OLT in place • Pacing delivery with capacity • Prioritising • Timetable for delivery • Clear communications	Medium likelihood Medium impact	AMBER	SF

		• JPAR guidance to have regular meetings with line manager to monitor and prioritise work • Wellbeing at work policy & agenda to promote smarter working practice			

Table 2: 2017/18 Corporate Risk Register – risk starting point following existing mitigating action

IMPACT				
High	*closely monitor* 3. Insufficient capacity to deliver Moors for the Future Partnership programme 7. Failure to design the organisation at all levels so it has the skills and capability to deliver 10. Failure to influence the transposing of EU laws and legislation for landscape and the environment into UK law after Article 50	*manage and monitor* 1. Failure to create a common understanding for the White Peak, including engaging with the farming community and land managers 6. Failure to implement the integrated strategic commercial plan		*significant focus and attention* 4. Area of NP land safeguarded in agri-environment schemes reduces because of Brexit uncertainty and continuing issues with Countryside Stewardship
Medium	*accept but monitor*	*management effort worthwhile* 2. Adverse exchange rate movements for Moorlife 2020 European funding 8. Failure to support staff going through a time of change 9. Failure to deliver an integrated conservation service for land managers and communities which increases awareness, understanding and support for the National Park's special qualities and the public goods delivered by the place 11. Failure to deliver against our Performance and Business Plan in a time of change		*manage and monitor* 5. Failure to inspire people to give to the Peak District National Park Authority
Low	*accept risks*	*accept but review periodically*		*accept but monitor*
	Low	**Medium**		**High**
	LIKELIHOOD			